

Relative entropic uncertainty relation for scalar quantum fields

Stefan Floerchinger¹, Tobi Haas¹, Ben Hoerber¹, Markus Schröfl¹

¹Institut für Theoretische Physik

based on: [PRA 103.062209](#), [SciPost Phys. 12, 089](#)



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386

Big picture

- Consider N oscillator modes

- N positions \vec{x} and N momenta \vec{p}
- Measuring them gives the distributions

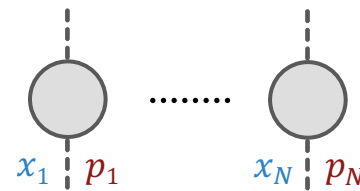
$$f(\vec{x}) = \langle x | \rho | x \rangle \text{ and } g(\vec{p}) = \langle p | \rho | p \rangle$$

- Associated entropic uncertainty relation (EUR) reads

$$S(f) + S(g) \geq N(1 + \ln \pi)$$

- Field theory limit $N \rightarrow \infty$ renders all quantities **infinite** \times

- Use relative entropies $S(f || f_\alpha)$ instead
- Obtain finite results also in the field theory limit



Outline

- Entropic uncertainty relation for a single oscillator
- From oscillators to fields
- The relative entropic uncertainty relation
- Example: Excitations

Flaws of standard deviation

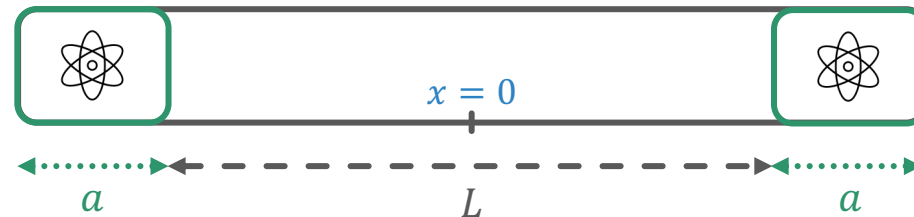
EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Let us start from Heisenberg's uncertainty relation

$$\sigma_x \sigma_p \geq \frac{1}{2}$$

- What is wrong with using the standard deviations σ_x and σ_p ? *Coles et al. '17*
 - No information about other moments of $f(x) = \langle x | \rho | x \rangle$ and $g(p) = \langle p | \rho | p \rangle$
 - Behave counterintuitively: Consider particle \otimes in boxes with $L \gg a$

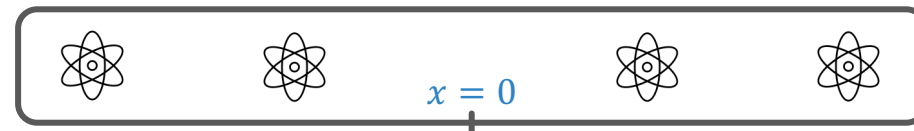
1. With walls:



$$\sigma_x \approx 0.5 L$$

v ?!

2. Without walls:

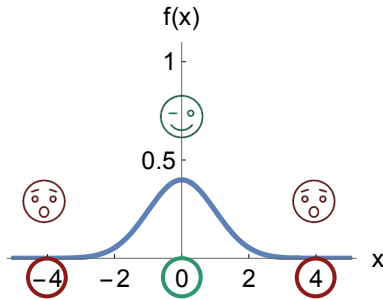


$$\sigma_x \approx 0.29 L$$

Measuring „surprise“

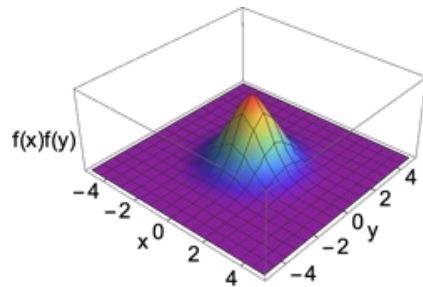
EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Which properties should a good measure of uncertainty possess? ^{Vedral '02}
 - Think in terms of „surprise“: Given an event x with probability density $f(x)$, how surprised are we when the event *does* occur?



Unlikely events x with small $f(x)$ would surprise a lot

$$\rightarrow \frac{1}{f(x)}$$



Surprisals of independent events x and y with probability density $f(x) \times f(y)$ should add up

$$\rightarrow -\ln f(x)$$

$$\rightarrow -\ln(f(x) \times f(y)) = -\ln f(x) - \ln f(y)$$

- Surprise of event x is $-\ln f(x)$

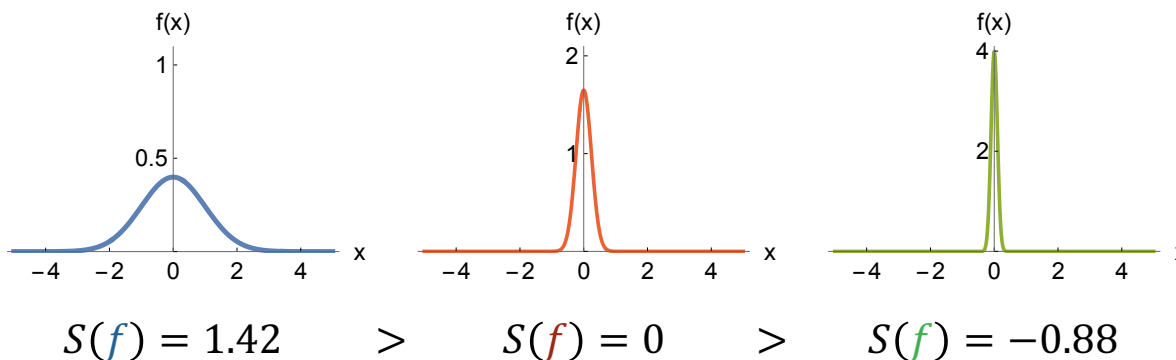
Differential entropy

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- We introduce differential entropy $S(f)$ as *the* measure of average surprise / uncertainty / missing information of a distribution $f(x)$

$$S(f) = - \int dx f(x) \ln f(x)$$

- Intuition: $S(f)$ is small whenever the distribution $f(x)$ is highly localized



→ For continuous variables, e.g. position x or momentum p , entropies can be negative

→ What happens when considering position x and momentum p ?

BBM Entropic uncertainty relation

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Entropic uncertainty relation for position $f(x)$ and momentum $g(p)$ distributions by Białynicki-Birula and Mycielski (BBM)^{Białynicki-Birula and Mycielski '75}

$$S(f) + S(g) \geq 1 + \ln \pi$$

→ Either entropy can become small or even negative, but their sum is bounded from below by a positive number

- Stronger than Heisenberg's relation^{Hertz and Cerf '19}
 - Q: Which distribution $f(x)$ maximizes entropy $S(f)$ for a given variance σ_x^2 ?
 - A: Gaussian $f(x) = f_G(x) \rightarrow S(f) \leq S(f_G) = \frac{1}{2} \ln(2\pi e \sigma_x^2)$
 - Hence, $\ln(2\pi e \sigma_x \sigma_p) \geq S(f) + S(g) \geq \ln \pi e \Rightarrow \sigma_x \sigma_p \geq \frac{1}{2}$
- Applications: entanglement witnesses^{Walborn et al. '09}, steering^{Walborn et al. '11}, ...

Coupled oscillators and field theory limit

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Toy model: Chain of N coupled modes in $d = 1 + 1$ dimensions

| Collection of oscillators | Limits |
|--|--|
| $H = \frac{1}{2} \sum_{j=0}^{N-1} \varepsilon \left[\pi_j^2 + \frac{1}{\varepsilon^2} (\phi_j - \phi_{j-1})^2 + m^2 \phi_j^2 \right]$ | <p>Continuum limit: $\varepsilon \rightarrow 0, N \rightarrow \infty, L = N\varepsilon = c.$</p> $H = \frac{1}{2} \int_0^L dx \left[\pi^2(x) + (\partial_x \phi(x))^2 + m^2 \phi^2(x) \right]$ |
| $H = \frac{1}{2} \sum_{\ell} \frac{\Delta k}{2\pi} \left[\pi_{\ell}^2 + \omega_{\ell}^2 \phi_{\ell}^2 \right]$ | <p>Infinite volume limit: $\Delta k \rightarrow 0, N \rightarrow \infty, \varepsilon = \frac{L}{N} = c.$</p> $H = \frac{1}{2} \int_{-\frac{\pi}{\varepsilon}}^{+\frac{\pi}{\varepsilon}} \frac{dp}{2\pi} \left[\pi^2(p) + \omega^2(p) \phi^2(p) \right]$ |
| $\omega_{\ell} = \sqrt{\frac{4}{\varepsilon^2} \sin^2 \left(\frac{\Delta k \ell \varepsilon}{2} \right) + m^2}$ | $\omega(p) = \sqrt{p^2 + m^2}$ |

- Field theory limit: continuum limit + infinite volume limit

Schrödinger picture for quantum fields

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Schrödinger picture: **States** are defined on constant time slices



- Expand field operators as $\Phi_\ell|\phi\rangle = \phi_\ell|\phi\rangle$ and $\Pi_\ell|\pi\rangle = \pi_\ell|\pi\rangle$
- In this basis, the density matrix elements read $\rho[\phi_+, \phi_-] = \langle\phi_+|\rho|\phi_-\rangle$
→ Functional probability density $F[\phi] = \rho[\phi, \phi] = \langle\phi|\rho|\phi\rangle$
- Expectation values can be obtained via functional integrals

$$\langle\mathcal{O}(\phi)\rangle = \int \mathcal{D}\phi \mathcal{O}(\phi) F[\phi], \quad \mathcal{D}\phi = \prod_\ell \int d\phi_\ell \sqrt{\frac{\Delta k}{2\pi}}$$

Vacuum and coherent states

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Vacuum wave functionals $\bar{\Psi}[\phi]$ and $\bar{\Psi}[\pi]$ follow from Schrödinger's equation, associated functional probability densities read

$$\bar{F}[\phi] = |\bar{\Psi}[\phi]|^2 = \bar{Z}_\phi^{-1} \exp \left[-\frac{1}{2} \sum_\ell \frac{\Delta k}{2\pi} \sum_m \frac{\Delta k}{2\pi} \phi_\ell \bar{\mathcal{M}}_{\ell m}^{-1} \phi_m \right],$$

$$\bar{G}[\pi] = |\bar{\Psi}[\pi]|^2 = \bar{Z}_\pi^{-1} \exp \left[-\frac{1}{2} \sum_\ell \frac{\Delta k}{2\pi} \sum_m \frac{\Delta k}{2\pi} \pi_\ell \bar{\mathcal{N}}_{\ell m}^{-1} \pi_m \right],$$

with normalization constants $\bar{Z}_\phi = \prod_\ell \sqrt{\frac{\pi}{\omega_\ell}}$, $\bar{Z}_\pi = \prod_\ell \sqrt{\pi \omega_\ell}$

and inverse covariance matrices $\bar{\mathcal{M}}_{\ell m}^{-1} = \frac{2\pi}{\Delta k} 2\omega_\ell \delta_{\ell m}$, $\bar{\mathcal{N}}_{\ell m}^{-1} = \frac{2\pi}{\Delta k} \frac{2}{\omega_\ell} \delta_{\ell m}$

- A coherent state $\rho = |\alpha\rangle\langle\alpha|$ can be obtained by displacing the vacuum, i.e.

$$F_\alpha[\phi] = \bar{Z}_\phi^{-1} \exp \left[-\frac{1}{2} \sum_\ell \frac{\Delta k}{2\pi} \sum_m \frac{\Delta k}{2\pi} (\phi_\ell - \phi_\ell^\alpha) \bar{\mathcal{M}}_{\ell m}^{-1} (\phi_m - \phi_m^\alpha) \right],$$

with $\phi_\ell^\alpha = \langle \phi_\ell \rangle_\alpha$, $\pi_\ell^\alpha = \langle \pi_\ell \rangle_\alpha$ and $\alpha_\ell = \frac{1}{\sqrt{2}} (\phi_\ell^\alpha + i\pi_\ell^\alpha)$

Problems in the field theory limit

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- For (discretized) theory with $N \in \mathbb{N}$ modes the BBM relation reads [Hertz and Cerf '19](#)

$$S[F] + S[G] \geq N(1 + \ln \pi),$$

where we introduced the functional entropy as

$$S[F] = - \int \mathcal{D}\phi F[\phi] \ln F[\phi]$$

- **1st** observation: Right hand side scales with number of modes N
 - Continuum limit and infinite volume limit, which both require $N \rightarrow \infty$, lead to divergent bound
- **2nd** observation: Independent of the state under consideration, the functional entropy diverges in the field theory limit. For example, for the vacuum we obtain

$$S[\bar{F}] = \ln \bar{Z}_\phi + \frac{1}{2} \int dp \delta(0) \rightarrow \infty$$

Functional relative entropy

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Similar to divergence of vacuum energy expectation value

$$\bar{E} = \text{Tr}\{\bar{\rho}H\} = \frac{1}{2} \int dp \omega(p) \delta(0) \rightarrow \infty$$

→ A physically reasonable notion of energy has to be formulated as a **difference** with respect to the vacuum energy

- Can we define entropic uncertainty **with respect to some reference state**?
- Define functional **relative** entropy between $F[\phi]$ and some model distribution $\tilde{F}[\phi]$

$$S[F\|\tilde{F}] = \int \mathcal{D}\phi F[\phi] (\ln F[\phi] - \ln \tilde{F}[\phi])$$

- not a true distance measure, but rather a divergence
- non-negative quantity being zero if and only if the two distributions agree
- has to be set to $+\infty$ if support condition $\text{supp}(F) \subseteq \text{supp}(\tilde{F})$ is violated

Derivation of the REUR

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Q: What reference model distribution $\tilde{F}[\phi]$ should we choose to describe entropic uncertainty?
 - Q: Which model distributions saturate the entropic uncertainty relation?
 - A: Coherent distributions $F_\alpha[\phi]$, i.e. $S[F_\alpha] + S[G_\alpha] = N(1 + \ln \pi)$
- $F_\alpha[\phi]$ maximizes the functional entropy $S[\tilde{F}]$ for a given covariance matrix $\bar{\mathcal{M}}$ and field expectation value $\phi_\ell^\alpha = \langle \phi_\ell \rangle_\alpha$
- For any distribution $F[\phi]$ with covariance matrix \mathcal{M} and field expectation value $\varphi_\ell = \langle \phi_\ell \rangle$, we have

$$S[F||F_\alpha] = -S[F] + S[\bar{F}] + \frac{1}{2} \text{Tr} \{ \bar{\mathcal{M}}^{-1} (\mathcal{M} - \bar{\mathcal{M}}) \} + \frac{1}{2} s \bar{\mathcal{M}}^{-1} s,$$

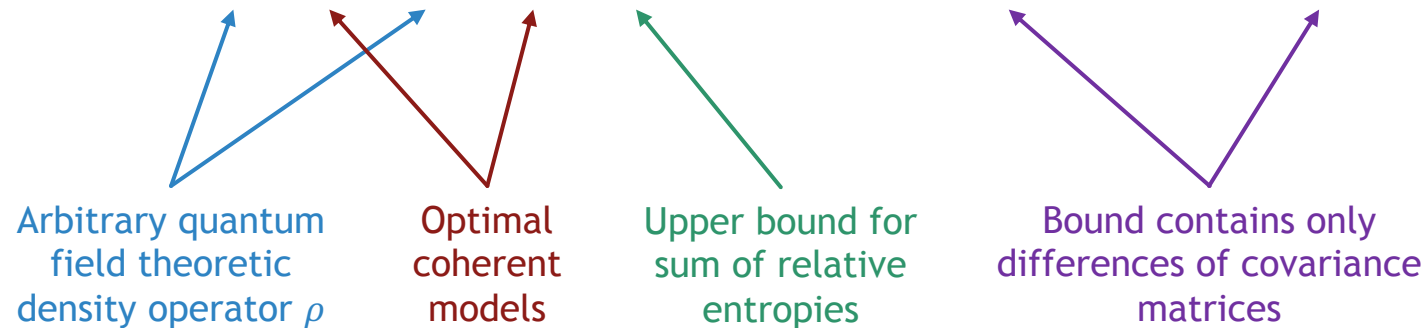
with $s_\ell = \varphi_\ell - \phi_\ell^\alpha = 0$ for suitably chosen coherent model distribution („optimal coherent model“)

Derivation of the REUR

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Plugging relative entropy $S[F||F_\alpha] = -S[F] + S[\bar{F}] + \frac{1}{2}\text{Tr} \{\bar{\mathcal{M}}^{-1}(\mathcal{M} - \bar{\mathcal{M}})\}$ w.r.t. optimal coherent models into $S[F] - S[\bar{F}] + S[G] - S[\bar{G}] \geq 0$ we obtain our main result, the **relative entropic uncertainty relation (REUR)**

$$S[F||F_\alpha] + S[G||G_\alpha] \leq \frac{1}{2}\text{Tr} \{\bar{\mathcal{M}}^{-1}(\mathcal{M} - \bar{\mathcal{M}}) + \bar{\mathcal{N}}^{-1}(\mathcal{N} - \bar{\mathcal{N}})\}$$



→ No explicit dependence on the number of modes N

→ Non-trivial statement for entropic uncertainty also for quantum fields

Example: Excitations

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Remember: a free scalar field is just a collection of harmonic oscillators!
- Create excitations / free particles by acting with **creation operators** on **vacuum state**

$$\Psi[\phi] = \prod_{k \in \mathfrak{S}} \frac{1}{\sqrt{n_k!}} \left(\sqrt{\frac{\Delta k}{2\pi}} a_k^\dagger \right)^{n_k} \bar{\Psi}[\phi], \quad a_k^\dagger = \frac{1}{\sqrt{2\omega_k}} \left(\omega_k \phi_k - \frac{\delta}{\delta \phi_k} \right)$$

- This yields the functional probability density

$$F[\phi] = |\Psi[\phi]|^2 = \prod_{k \in \mathfrak{S}} \frac{1}{n_k!} H_{n_k}^2 \left(\frac{\phi_k}{\sqrt{\mathcal{M}_{kk}}} \right) \bar{F}[\phi]$$

- The covariance matrix of such a state is given by

$$\mathcal{M}_{\ell m} = \int \mathcal{D}\phi \left[\phi_\ell \phi_m \prod_{k \in \mathfrak{S}} \frac{1}{n_k!} H_{n_k}^2 \left(\frac{\phi_k}{\sqrt{\mathcal{M}_{kk}}} \right) \right] \bar{F}[\phi] \propto \delta_{\ell m}$$

Example: Excitations

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Excitations

- Using the orthogonality relations as well as recurrence relations of Hermite polynomials, one can show that

$$\text{for non-excited modes: } \mathcal{M}_{\ell\ell} = \bar{\mathcal{M}}_{\ell\ell} \quad \text{for } \ell \notin \mathfrak{S}$$

$$\text{for excited modes: } \mathcal{M}_{\ell\ell} = \bar{\mathcal{M}}_{\ell\ell} (1 + 2n_\ell) \quad \text{for } \ell \in \mathfrak{S}$$

→ the diagonal components of the vacuum covariance acquire an additive term accounting for the excitations in the excited modes

- Using this result, we can compute the bound of the REUR for the discretized as well as for the continuous theory

$$S[F||\bar{F}] + S[G||\bar{G}] \leq \sum_{k \in \mathfrak{S}} 2n_k$$

→ This result also holds in the field theory limit!

Summary

- We have presented a **relative entropic uncertainty relation (REUR)**

$$S[F||F_\alpha] + S[G||G_\alpha] \leq \frac{1}{2} \text{Tr} \{ \bar{\mathcal{M}}^{-1}(\mathcal{M} - \bar{\mathcal{M}}) + \bar{\mathcal{N}}^{-1}(\mathcal{N} - \bar{\mathcal{N}}) \}$$

describing entropic uncertainty between a scalar field and its conjugate momentum field with respect to optimal coherent states

- The bound of this relation is **independent** of the **number of modes N**
- All quantities are **well-defined** and **finite** in the **field theory limit**
- We have demonstrated its properties by considering few particle excitations

Outlook

- Formulate **other** known entropic uncertainty relations in a field theory sense, e.g., Frank and Lieb relation as well as Wehrl-Lieb inequality (work in progress)
- Extend REUR to include **(quantum) memory**
- Use REUR to constrain **entanglement** in quantum field theories
 - obtain criteria being capable of certifying entanglement between spacetime regions?
- Study **other** field theories: fermions and gauge fields?
- Study **interacting** theories: perturbation theory and beyond?

Thank you for your attention!

References

- *Coles et al.* '17: Entropic uncertainty relations and their applications, [Rev. Mod. Phys. 89, 015002 \(2017\)](#)
- *Vedral* '02: The role of relative entropy in quantum information theory, [Rev. Mod. Phys. 74, 197 \(2002\)](#)
- *Hertz and Cerf* '09: Continuous-variable entropic uncertainty relations, [J. Phys. A: Math. Theo. 52 173001](#)
- *Białynicki-Birula and Mycielski* '75: Uncertainty relations for information entropy in wave mechanics, [Commun. Math. Phys. 44, 129-132 \(1975\)](#)
- *Walborn et al.* '09: Entropic entanglement criteria for continuous variables, [Phys. Rev. Lett. 103, 160505 \(2009\)](#)
- *Walborn et al.* '11: Revealing Hidden Einstein-Podolsky-Rosen Nonlocality, [Phys. Rev. Lett. 106, 130402 \(2011\)](#)

Backup slides

Example: Thermal state

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Thermal state

- The thermal density operator is given by $\rho_T = \frac{1}{Z} e^{-\beta H}$, the resulting functional probability density is of Gaussian form

$$F_T[\phi] = \frac{1}{Z_T} \exp\left(-\frac{1}{2} \sum_{\ell} \frac{\Delta k}{2\pi} \sum_m \frac{\Delta k}{2\pi} \phi_{\ell} (\mathcal{M}_{\ell m}^T)^{-1} \phi_m\right)$$

with the thermal covariance matrix $\mathcal{M}_{\ell m}^T = (1 + 2n_{BE}(\omega_{\ell})) \bar{\mathcal{M}}_{\ell m}$

- The bound of the REUR reads

$$\frac{1}{2} \text{Tr}\{\bar{\mathcal{M}}^{-1}(\mathcal{M}^T - \bar{\mathcal{M}})\} = \begin{cases} L \sum_{\ell} \frac{\Delta k}{2\pi} n_{BE}(\omega_{\ell}) & \text{continuum limit} \\ 2\pi\delta(0) \int \frac{dp}{2\pi} n_{BE}(\omega(p)) & \text{infinite volume limit} \end{cases}$$

→ Consider relative entropy densities or finite volume

Example: Thermal state

EUR for a single oscillator | From oscillators to fields | The relative entropic uncertainty relation | Example: Thermal state

- As thermal state is of Gaussian form, we can also calculate the LHS of the REUR

$$S[F_T || \bar{F}] + S[G_T || \bar{G}] = L \sum_{\ell} \frac{\Delta k}{2\pi} [2n_{BE}(\omega_{\ell}) - \ln(1 + 2n_{BE}(\omega_{\ell}))]$$

and plot both sides

